

Attorney's Docket No.: 10636-005001

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Number of pages including this page 16

Applicant : David Castiel, et al.  
Serial No. : 09/658,215  
Filed : September 8, 2000

Art Unit : 2681  
Examiner : Kamran Afshar


Title : FIXED SATELLITE CONSTELLATION SYSTEM EMPLOYING NON-  
GEOSTATIONARY SATELLITES IN SUB-GEOSYNCHRONOUS  
ELLIPTICAL ORBITS WITH COMMON GROUND TRACKS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Pursuant to your discussion with Bing Ai of this date, attached is a copy of the Petition to  
Revoke previously filed on August 16, 2004. Also attached is the PTO stamped postcard  
showing receipt of the Petition. Please don't hesitate to contact me with any questions in this  
regard.

Respectfully submitted,

Date: September 9, 2004

  
Sharon Gebhart  
Assistant to Bing Ai

Fish & Richardson P.C.  
PTO Customer No. 20985  
12390 El Camino Real  
San Diego, California 92130  
Telephone: (858) 678-5070  
Fax: (858) 678-5099

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AUG 23 2004

FISH & RICHARDSON, PC.  
FIELD OFFICE

Attorney's Docket No. 10636-005001	Express Mail Label No.	Mailing Date August 16, 2004	<b>For PTO Use Only</b> Do Not Mark in This Area
Application No. 09/658,215	Filing Date September 8, 2000	Attorney/Secretary Init SCH/BXA/rpi	
Title of the Invention <b>FIXED SATELLITE CONSTELLATION SYSTEM EMPLOYING NON-GEOSTATIONARY SATELLITES IN SUB-GEOSYNCHRONOUS ELLIPTICAL ORBITS WITH COMMON GROUND TRACKS</b>			
Applicant David Castiel, et al.			
Enclosures Check in the amount of \$665.00 Petition Under 37 CFR 1.137(b), (14 pages)			



Attorney's Docket No.: 10636-005001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : David Castiel, et al.      Art Unit : 2681  
Serial No.: 09/658,215      Examiner : Kamran Afshar  
Filed : September 8, 2000  
Title : FIXED SATELLITE CONSTELLATION SYSTEM EMPLOYING NON-  
GEOSTATIONARY SATELLITES IN SUB-GEOSYNCHRONOUS  
ELLIPTICAL ORBITS WITH COMMON GROUND TRACKS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PETITION UNDER 37 CFR 1.137(b)

In timely response to the decision dated June 16, 2004 on the prior petition filed on April 2, 2004, Applicants request that this application be revived under 37 CFR 1.137(b) on the ground that the application became abandoned unintentionally and be accepted under 37 CFR 1.47(a). The entire delay for fulfilling the evidentiary showing under 37 CFR 1.47(a) was unintentional and the application should be revived for the reasons stated below and the evidence filed herewith.

Facts in connection with this renewed petition are summaries as follows.

(1) John Draim, one of the three joint inventors for this application, was provided with a combined power of attorney and declaration and a copy of the application as originally filed on September 8, 2000 after the filing.

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

August 16, 2004  
Date of Deposit

Signature

Roxanne Ippolito  
Typed or Printed Name of Person Signing Certificate

BEST AVAILABLE COPY

Applicant : David Castiel, et al.  
Serial No. : 09/658,215  
Filed : September 8, 2000  
Page : 2 of 3

Attorney's Docket No.: 10636-005001

(2) John Draim refused to sign the declaration required under 37 CFR 1.63 after repeated requests by the attorney of this application, Scott Harris.

(3) On June 21, 2004, Bing Ai, an associate of the attorney Scott Harris, sent to John Draim a package via the express mail by the U.S. Postal Service that contains a letter to John Draim, a combined power of attorney and declaration, an assignment, and a copy of the originally filed application of this case. The letter to John Draim requested John Draim to review the filed application and sign the enclosed combined power of attorney and declaration and the assignment. John Draim personally received the package on June 25, 2004. Under 37 CFR 1.47(a), a copy of the delivery receipt from the United States Postal Service dated June 25, 2004, a copy of the original express mail label, a copy of the tracking confirmation and a copy of the letter to John Draim dated June 21, 2004 are attached herewith to show that John Draim was presented with the originally filed application which included specification, claims, and drawings.

(3) As of today, August 18, 2004, the combined power of attorney and declaration mailed to John Draim in the above package on June 21, 2004 has not been returned with the requested signature by John Draim.

Therefore, it is respectfully submitted that the facts above sufficiently support that John Draim, once again, refused to sign the combined power attorney and declaration that was sent to him. As such, the requirements for the petition under 37 CFR 1.47(a) have been met and the petition should be granted.

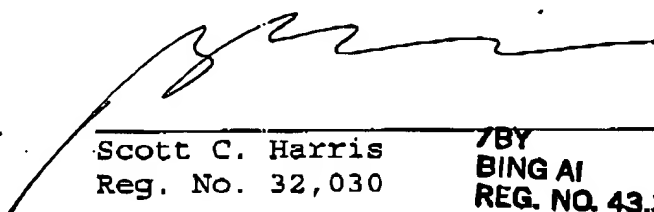
In addition, a check of \$665.00 is enclosed for the required fee for the petition to revive under 37 CFR 1.137(b) and applicants authorize the Patent Office to charge any

Applicant : David Castiel, et al.  
Serial No. : 09/658,215  
Filed : September 8, 2000  
Page : 3 of 3

Attorney's Docket No.: 10636-005001

additional fees to deposit account No. 06-1050. Acceptance of this filing is respectfully requested. Upon acceptance of this filing, the application should be revived.

Respectfully submitted,

Date: 08/16/2004  
\_\_\_\_\_  
Scott C. Harris  
Reg. No. 32,0307BY  
BING AI  
REG. NO. 43,312

Fish & Richardson P.C.  
PTO Customer No. 20985  
12390 El Camino Real  
San Diego, California 92130  
Telephone: (858) 678-5070  
Facsimile: (858) 678-5099

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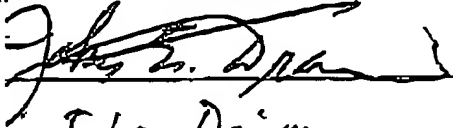
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POSTAL SERVICE™**

Date: 06/25/2004

Fax Transmission To: BING AI  
Fax Number: 858-678-5099

Dear BING AI:

The following is in response to your 06/23/2004 request for delivery information on your Express Mail Item number EV367428593US. The delivery record shows that this item was delivered on 06/22/2004 at 11:47 AM in VIENNA, VA 22182 to J DRAIM. The scanned image of the recipient information is provided below.

Signature of Recipient: Delivery Section  
  
John Drait

Address of Recipient: 9310 Telfer Ct. 22182

Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local Post Office or postal representative.

Sincerely,

United States Postal Service

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JUN 26 2004

FISH & RICHARDSON, P.C.  
SAN DIEGO



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### Shipment Details

You entered EV36 7428 593U S

Your item was delivered at 11:47 am on June 22, 2004 in VIENNA, VA 22182. The item was signed for by J DRAIM.

Here is what happened earlier:

- ARRIVAL AT UNIT, June 22, 2004, 10:18 am, VIENNA, VA 22180
- ENROUTE, June 21, 2004, 7:46 pm, SAN DIEGO, CA 92137
- ACCEPTANCE, June 21, 2004, 7:23 pm, SAN DIEGO, CA 92110

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UNITED STATES POSTAL SERVICE®

Customer Copy

Label 11-P June 2002

Post Office To Addressee

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Date 6/2/4	<input checked="" type="checkbox"/> First <input type="checkbox"/> 2nd	Postage \$ 13.65
Time In 1:11	Monday	Return Receipt Fee
<input type="checkbox"/> Air <input checked="" type="checkbox"/> First Class	<input type="checkbox"/> 1st Day <input checked="" type="checkbox"/> 2nd Day	
Weight 1.1	Country Code	COO Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Accepted for Mails	Insurance Fee
Total Postage and Fees \$ 13.65		

## DELIVERY (POSTAL USE ONLY)

Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Delivery Date	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature


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PHONE: ( )

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 E12390 El Camino Real  
 San Diego, CA 92130

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PHONE: ( )

 John E. Drain  
 9310 Telfer Court  
 Vienna, VA 22182-3438

10636-005001 (BXA)

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Frederick P. Fish  
1855-1930

W.K. Richardson  
1859-1951

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San Diego, California  
92130

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EV367428593US 7003 2260 0007 5998 3340

June 21, 2004

John E. Draim  
9310 Telfer Court  
Vienna, VA 22182-3438



BOSTON  
DALLAS  
DELAWARE  
NEW YORK  
SAN DIEGO  
SILICON VALLEY  
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WASHINGTON, DC

Re: FIXED SATELLITE CONSTELLATION SYSTEM EMPLOYING NON-  
GEOSTATIONARY SATELLITES IN SUB-GEOSYNCHRONOUS ELLIPTICAL  
ORBITS WITH COMMON GROUND TRACKS

Applicant: David Castiel, et al.  
Application No.: 09/658,215  
Filing Date: September 8, 2000  
Country: United States  
Our Ref.: 10636-005001

Dear Mr. Draim:

We understand that you previously have received a copy of the filed application for this case. In addition, the Combined Declaration and Power of Attorney along with the Assignment document for the above referenced case was sent to you on September 28, 2001. However, we have not received the signed documents from you.

Enclosed please find a copy of the application as filed and another set of the formal papers (Combined Declaration and Power of Attorney and Assignment) for your review and signature. Please review and return these documents to our office as soon as possible for a timely filing with the US Patent and Trademark Office.

If you have any questions feel free to contact me.

Very truly yours,

Bing Ai

BXA/rpi

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10636-005001

SCH



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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FISH & RICHARDSON, P.C.  
SAN DIEGO

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SAN DIEGO CA 92130-2081

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JUN 16 2004

OFFICE OF PETITIONS

In re Application of  
Castiel, et al  
Application No. 09/658,215  
Filed: 8 September, 2000  
Attorney Docket No.: 10636-005001

ON PETITION

This is a decision on the petition filed on 2 April, 2004, requesting withdrawal of the holding of abandonment (as to a Communication alerting Petitioner to the abandoned status of the application) mailed by the Office on 21 May, 2004, and considered under 37 C.F.R. §1.181.

For the reasons set forth below, the petition as considered under 37 C.F.R. §1.181 is  
**DISMISSED.**

**NOTES:**

- (1) Any petition (and fee) for reconsideration of this decision under 37 C.F.R. §1.181, or 37 C.F.R. §1.137(a) (as to unavoidable delay) or an alternative request for relief under 37 C.F.R. §1.137(b) (as to unintentional delay) must be submitted within two (2) months from the mail date of this decision. Extensions of time under 37 C.F.R. §1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition under 37 C.F.R. §1.137(a)"; and/or "Petition under 37 C.F.R. §1.137(b)";
- (2) Thereafter, there will be no further reconsideration of this matter.

**BACKGROUND**

The record reflects that:

Docketed By Billing Secretary	
Due Date:	7-16-04
Deadline:	8-16-04
Initials:	lms

DOCKETED BY PRACTICE SYSTEMS	
ACTION CODE:	Unintentional Abd
CASE DATE:	6-16-04
DUE DATE:	7-16-04
DEADLINE:	8-16-04
INITIALS:	QRM
RECORD:	

Application No. 09/658,215

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- the Office mailed a Notice of Missing Parts on 27 October, 2000, and the 6 March, 2001, and 20 September, 2001, petitions seeking status under 37 C.F.R. §1.47 were dismissed by the Office on 9 July, 2001, and 2 October, 2001, respectively (copy of 2 October, 2001, decision enclosed);
- although Petitioner failed to timely and properly address the dismissal of 2 October, 2001, on or before Monday, 3 December, 2001--and thus the application was deemed abandoned after midnight 2 December, 2001--the Examiner nonetheless examined the application and mailed a Notice of Allowance on 7 October, 2003;
- during review prior to publication, the abandoned status of the application was discovered by the Office.
- a Communication was mailed by the Office to Petitioner on 21 May, 2004, and indicated that Petitioner had failed to reply timely and properly to the dismissal of 2 October, 2001;
- nonetheless, Petitioner argues in his petition about some unidentified paper dated 1 September, 2000 (sic)--a date which is not at issue in this regard.
- Petitioner has not as of this writing properly replied to the 2 October, 2001, dismissal, and the application remains abandoned--therefore, the "reply" requirement for revival remains a grantable petition under 37 C.F.R. §1.47 or a petition evidencing joinder.

### STATUTES, REGULATIONS AND ANALYSIS

Congress has authorized the Commissioner to "revive an application if the delay is shown to the satisfaction of the Commissioner to have been "unavoidable." 35 U.S.C. §133 (1994).<sup>1</sup>

The regulations at 37 C.F.R. §1.137(a) and (b) set forth the requirements for a petitioner to revive a previously unavoidably or unintentionally, respectively, abandoned application under this congressional grant of authority. The language of 35 U.S.C. §133 and 37 C.F.R. §1.137(a) is clear, unambiguous, and without qualification; the delay in tendering the reply to the outstanding Office action, as well as filing the first petition seeking revival, must have been unavoidable for

---

<sup>1</sup> 35 U.S.C. §133 provides:

**35 U.S.C. §133 Time for prosecuting application.**

(Upon failure of the applicant to prosecute the application within six months after any action therein, of which notice has been given or mailed to the applicant, or within such shorter time, not less than thirty days, as fixed by the Commissioner in such action, the application shall be regarded as abandoned by the parties thereto, unless it be shown to the satisfaction of the Commissioner that such delay was unavoidable.

Application No. 09/658,215

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the reply now to be accepted on petition.<sup>2</sup>

Delays in responding properly raise the question whether delays are unavoidable.<sup>3</sup> Where there is a question whether the delay was unavoidable, Petitioners must meet the burden of establishing that the delay was unavoidable within the meaning of 35 U.S.C. §133 and 37 C.F.R. §1.137(a).<sup>4</sup> And the Petitioner must be diligent in attending to the matter.<sup>5</sup> Failure to do so does not constitute the care required under Pratt, and so cannot satisfy the test for diligence and due care.

By contrast, unintentional delays are those that do not satisfy the very strict statutory and regulatory requirements of unavoidable delay, and also, by definition, are not intentional.<sup>6</sup> And petitions calling upon the authority of the Commissioner to act, such as that under 37 C.F.R. §1.181,<sup>7</sup> require diligence as to their filing—i.e., the petition must be filed within two months of

<sup>2</sup> Therefore, by example, an unavoidable delay in the payment of the Filing Fee might occur if a reply is shipped by the US Postal Service, but due to catastrophic accident, the delivery is not made.

<sup>3</sup> See: Changes to Patent Practice and Procedure: Final Rule Notice, 62 Fed. Reg. at 53158-59 (October 10, 1997), 1203 Off. Gaz. Pat. Office at 80-87 (October 21, 1997).

<sup>4</sup> See: In re Application of G, 11 USPQ2d 1378, 1380 (Comm'r Pats. 1989).

<sup>5</sup> See: Diligence in Filing Petitions to Revive and Petitions to Withdraw the Holding of Abandonment, 1124 Off. Gaz. Pat. Office 33 (March 19, 1991). It was and is Petitioner's burden to exercise diligence in seeking either to have the holding of abandonment withdrawn or the application revived. See 1124 Off. Gaz. Pat. Office supra.

<sup>6</sup> Therefore, by example, an unintentional delay in the reply might occur if the reply and transmittal form are to be prepared for shipment by the US Postal Service, but other pressing matters distract one's attention and the mail is not timely deposited for shipment.

<sup>7</sup> The regulations at 37 C.F.R. §1.181 provide:  
§ 1.181 Petition to the Commissioner.

(a) Petition may be taken to the Commissioner:

(1) From any action or requirement of any examiner in the *ex parte* prosecution of an application, or in the *ex parte* or *inter partes* prosecution of a reexamination proceeding which is not subject to appeal to the Board of Patent Appeals and Interferences or to the court;

(2) In cases in which a statute or the rules specify that the matter is to be determined directly by or reviewed by the Commissioner; and

(3) To invoke the supervisory authority of the Commissioner in appropriate circumstances. For petitions in interferences, see § 1.644.

(b) Any such petition must contain a statement of the facts involved and the point or points to be reviewed and the action requested. Briefs or memoranda, if any, in support thereof should accompany or be embodied in the petition; and where facts are to be proven, the proof in the form of affidavits or declarations (and exhibits, if any) must accompany the petition.

(c) When a petition is taken from an action or requirement of an examiner in the *ex parte* prosecution of an application, or in the *ex parte* or *inter partes* prosecution of a reexamination proceeding, it may be required that there have been a proper request for reconsideration (§ 1.111) and a repeated action by the examiner. The examiner may be directed by the Commissioner to furnish a written statement, within a specified time, setting forth the reasons for his or her decision upon the matters averred in the petition, supplying a copy to the petitioner.

(d) Where a fee is required for a petition to the Commissioner the appropriate section of this part will so indicate. If any required fee does not accompany the petition, the petition will be dismissed.

(e) Oral hearing will not be granted except when considered necessary by the Commissioner.

(f) The mere filing of a petition will not stay any period for reply that may be running against the application, nor act as a stay of other proceedings. Any petition under this part not filed within two months of the mailing date of the action or notice from which relief is requested may be dismissed as untimely, except as otherwise provided. This two-month period is not extendable.

(g) The Commissioner may delegate to appropriate Patent and Trademark Office officials the determination of petitions.  
[24 Fed. Reg. 10332, Dec. 22, 1959; 34 Fed. Reg. 18857, Nov. 26, 1969; paras. (d) and (g), 47 Fed. Reg. 41278, Sept. 17, 1982, effective Oct. 1, 1982; para. (a), 49 Fed. Reg. 48416, Dec. 12, 1984, effective Feb. 11, 1985; para. (f) revised, 65 Fed. Reg. 54604, Sept. 8, 2000, effective Nov. 7, 2000; paras. (e) and (c) revised, 65 Fed. Reg. 76756, Dec. 7, 2000, effective Feb. 5, 2001]

Application No. 09/658,215

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the action complained of.

Allegations as to the Request to Withdraw  
the Holding of Abandonment

The courts have determined the construct for properly supporting a petition seeking withdrawal of a holding of abandonment.<sup>\*</sup>

Petitioner fails to satisfy his burden under 37 C.F.R. §1.181

CONCLUSION

Because Petitioner failed to satisfy the burdens set forth in Delgar v. Schulver, the petition under 37 C.F.R. §1.181 must be and hereby is dismissed.

ALTERNATIVE VENUE

It appears that Petitioner is unable to make a showing surpassing that tendered heretofore, and, therefore, Petitioner's only alternative to irretrievable abandonment likely is to file a petition and fee as set forth at NOTE 1, above at page 1, under 37 C.F.R. §1.137(b), and state therein that "the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. §1.137(b) was unintentional."

Thus, Petitioner may wish to supplement her petition to plead alternatively under 37 C.F.R. §1.137(b) wherein the "showing" burden is much less onerous.

Petitioner is cautioned that failure to submit such a petition 37 C.F.R. §1.137(b) timely may be viewed as intentional delay and an absolute bar to revival.

Further correspondence with respect to this matter should be addressed as follows:

By mail: (Effective 1 May, 2003)<sup>9</sup>  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<sup>\*</sup> See: Delgar v. Schulver, 172 USPQ 513 (D.D.C. 1971).

<sup>9</sup> To determine the appropriate addresses for other subject-specific correspondence, refer to the USPTO Web site at [www.uspto.gov](http://www.uspto.gov).

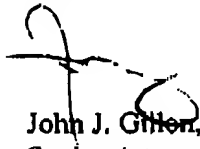
Application No. 09/658,215

5

By FAX: (703) 872-9306 (IFW Formal Filings)  
ATTN.: Office of Petitions

By hand: Customer Service Window  
Lobby/Room 1B03  
Crystal Plaza Two  
2011 South Clark Place  
Arlington, VA 22202

Telephone inquiries concerning this decision may be directed to the undersigned at (703) 305-9199.



John J. Gillen, Jr.  
Senior Attorney  
Office of Petitions

Encl.: Copy of Decision of 2 October, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
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OFFICE OF PETITIONS

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4350 LA JOLLA VILLAGE DRIVE  
SUITE 500  
SAN DIEGO, CA 92122

In re Application of  
Castiel, et al.  
Application No. 09/658,215  
Filed: September 8, 2000  
Attorney Docket No. 10636/00501  
For: FIXED SATELLITE CONSTELLATION  
SYSTEM EMPLOYING NON-GEOSTATIONARY  
SATELLITES IN SUB-GEOSYNCHRONOUS  
ELLIPTICAL ORBITS WITH COMMON  
GROUND TRACKS

DECISION REFUSING STATUS  
UNDER 37 CFR 1.47(a)

This is in response to the renewed petition, filed September 20, 2001 under 37 CFR 1.47(a).

The petition is **DISMISSED**.

Rule 47 applicant is given ONE MONTH from the mailing date of this decision to reply, correcting the below-noted deficiencies. Any reply should be entitled "Request for Reconsideration of Petition Under 37 CFR 1.47(a)," and should only address the deficiencies noted below, except that the reply may include an oath or declaration executed by the non-signing inventor. Failure to respond will result in abandonment of the application. Any extensions of time will be governed by 37 CFR 1.136(a).

The above-identified application was filed September 8, 2000 without an executed oath or declaration and named David Castiel, Jack Anderson, and John E. Drown as joint inventors.

Accordingly, on October 27, 2000, a "Notice to File Missing Parts of Application" was mailed, requiring an executed oath or declaration, and a surcharge for its late filing, along with the required filing fees due in connection with the application. In response, a petition under 37 CFR 1.47(a) was filed on March 6, 2001 and dismissed July 9, 2001.

A grantable petition under 37 CFR 1.47(a) requires: (1) proof that the non-signing inventor cannot be reached or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings); (2) an acceptable oath or declaration in compliance with 35 USC 115 and 116; (3) the petition fee; and (4) a statement of the last known address of the non-signing inventor.

The instant petition lacks item (1) referenced above. The petition lacks any supporting evidence that the non-signing inventor cannot be reached, was ever presented with a copy of the application papers (specification, claims and drawings), or, having been presented with the application papers, refused to

Application No. 09/658,215

sign the oath or declaration. Proof of the pertinent events should be made by someone having first hand knowledge of the events in the form of an affidavit or declaration. In particular, the declaration or affidavit must set forth the manner in which the application papers were presented to the non-signing inventor. Petitioner should include copies of dated cover letters and/or mailing receipts as evidence that the non-signing inventor was presented with a copy of the application papers or having been presented with the application papers, refused to sign the oath or declaration. If oral refusals were made by the non-signing inventor, that fact along with the time and place of the refusal must be stated in an affidavit or declaration signed by the person to whom the refusals were made. Petitioner's attention is directed to MPEP 409.03(d) for further information on the presumptive evidence required for accordance of status under 37 CFR 1.47.

Petitioner has failed to present any evidence to establish that the non-signing inventor ever received a copy of the application papers (specification, claims, and drawings). The letter dated August 28, 2001 and addressed to the non-signing inventor indicates that the non-signing inventor was presented with a combined declaration and power of attorney and an assignment.

Any renewed petition must be accompanied by evidence that the non-signing inventor has been presented with a copy of the application papers (specification, claims, and drawings).

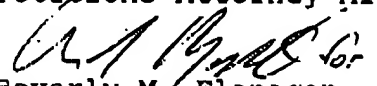
Further correspondence with respect to this matter should be addressed as follows:

By mail: Commissioner for Patents  
Box DAC  
Washington, D.C. 20231

By FAX: (703) 308-6916  
Attn: Office of Petitions

By hand: Crystal Plaza Four, Suite 3C23  
2201 S. Clark Place  
Arlington, VA

Telephone inquiries related to this decision may be directed to Petitions Attorney Alesia M. Brown at (703) 305-0310.

  
Beverly M. Flanagan  
Supervisory Petitions Examiner  
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